

**UNITED STATES DISTRICT COURT FOR
THE DISTRICT OF MASSACHUSETTS**

SECURITIES AND
EXCHANGE COMMISSION

Plaintiff,

v.

ROGER KNOX, WINTERCAP SA
MICHAEL T. GASTAUER, WB21 US INC.,
SILVERTON, SA INC., WB21 NA INC.,
C CAPITAL CORPORATION, WINTERCAP
SA INC., AND B2 CAP INC.

Defendants,

and

RAIMUND GASTAUER, SIMONE
GASTAUER FOEHR, B21 LTD., SHAMAL
INTERNATIONAL FZE, AND WB21 DMCC

Relief Defendants.

Civil Action No. 18-cv-12058-RGS

Rule 7.1 Corporate Disclosure Statement

Pursuant to Fed. R. Civ. P 7.1, the undersigned counsel for Wintercap SA Inc. (a private non-governmental party) certifies that there is no parent corporation or publicly-held corporation that owns more than 10% of its stock.

March 13, 2019
Boston, Mass.

s/ Timothy Cornell
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NOTICE OF ELECTRONIC FILING

I hereby certify that on March 13, 2019, I electronically filed the foregoing document with the United States District Court for the District of Massachusetts by using the CM/ECF system, and the parties will be served electronically.

/s/ Timothy Cornell